


**PATENT
IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

APPLICANT: K. Waterkotte	(GROUP ART UNIT:	
SERIAL NO.: Not Assigned	(Date of Deposit:	
FILED: Concurrently Herewith	(<u>Tina M. Kiselka</u> July 20, 2004	
FOR: HEAT SOURCE OR HEAT SINK SYSTEM WITH THERMAL GROUND COUPLING) Tina M. Kiselka Date	
EXAMINER: not yet assigned	(
CASE NO.: 09796503-0163	(

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicant draws the Examiner's attention to the documents listed on the enclosed Form 1449 and request that the Examiner consider them and make them of record in this application. The listed documents were cited in a communication from the International Searching Authority in the corresponding PCT application (PCT/EP00/03549).

The copies of the references cited therein are enclosed herewith.

This statement is made in compliance with Applicant's duty of disclosure and is not an admission of any kind, and specifically is not an admission that the documents cited herein are prior art as to the invention claimed.

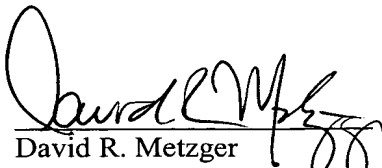
This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits under 37 CFR § 1.97(b). Accordingly, no fee is due.

Respectfully submitted,

SONNENSCHN NATH & ROSENTHAL LLP

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By:


David R. Metzger
Registration No. 32,919

D112 Rec'd PCT/PTO 20 JUL 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Klemens Waterkotte

ATTY'S DOCKET: 09796503-0163

SERIAL NO.: Filed Concurrently Herewith

FILING DATE: Filed Concurrently Herewith

EXAMINER:

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

S I R:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted.

- X Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 CFR §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- After the mailing date of a first Office Action but before (1) mailing of a final action under 37 CFR §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
- Payment for the fee set forth in 37 CFR §1.17(p) accompanies this submission by way of **American Express Account No. 378571697401002** in the amount of \$_____. Form 2038 is attached for that purpose; or
- The certification specified in 37 CFR §1.97(e) is made below. (No fee is required.)
- After the mailing of (1) a final action under 37 CFR §1.113; or (2) a notice of allowance under 37 CFR §1.311 whichever occurs first, but before payment of the issue. The certification specified in 37 CFR §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 CFR §1.17(i)(1) accompanies this submission.
- The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of the statement.
- A check in the amount of \$_____ to cover any required fee is enclosed.

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X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

SONNENSCHN NATH & ROSENTHAL LLP

By David R. Metzger Reg. No. 32,919
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When phoning re this application,
please call (312) 876-2886

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner for Patents, PCT, P.O. Box 1450, Alexandria, VA 22313-1450 on

July 20, 2004

Tina M. Kuehn

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DT12 Rec'd PCT/PTO 20 JUL 2004

Sheet 1 of 1

P.T.O. 1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF OTHER ITEMS CITED BY APPLICANT (use several sheets if necessary)					Docket No. 09796503-0163		Serial No. Filed Concurrently Herewith	
					Applicant Klemens Waterkotte			
					Filing Date Filing Herewith		Group Art Unit	
U.S. PATENT DOCUMENTS								
Examiner's Initials		Document Number	Date	Name	Class	Subclass	Filing Date If appropriate	
	AA	4,808,032	02/28/89	H. John				
	AB							
	AC							
	AD							
	AE							
	AF							
	AG							
	AH							
	AI							
	AJ							
	AK							
FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	AL	70 36 659	10/23/80	Germany				
	AM	94 14 813.9	11/10/94	Germany				
	AN	1 006 322 A2	06/07/2000	Europe				
	AO	81670	01/24/80	Luxemburg				
	AP							
	AQ							
	AR							
	AS							
OTHER ITEMS (Including Author, Title, Date, Pertinent Pages, Etc.)								
	AT							
	AU							
	AV							
	AW							
Examiner				Date Considered				
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								